

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s):	CheeWai Lum et al		
Serial No.:		Examiner:	
Filed:	December 15, 2003	Group Art Unit:	
Title:	Environmental Stress Protection Scheme For A Data Storage Device		
Docket:	STL11464		

Mail Stop Patent Application  
 Commissioner for Patents  
 P.O. Box 1450  
 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

## Section 1. Forms PTO/SB/08A and 08B (substitute for Form PTO-1449)

Form PTO/SB/08A is attached hereto.

## Section 2. Remarks or Additional Statements

- ☒ This Information Disclosure Statement is being filed along with a new patent application.
- ☐ This Information Disclosure Statement is being filed *within* three months of the filing date of the application or date of entry into the national stage of an international application or *before* the mailing date of a first Office action on the merits, whichever event occurs last. 37 C.F.R. 1.97(b).
- ☐ 37 C.F.R. §1.97(c) This Information Disclosure Statement is being filed *after* three months of the filing date of this national application or the date of entry of the national Stage as set forth in Section 1.491 in an international application or after the mailing date of the first Office Action on the merits, whichever event occurred last but *before* the mailing date of either:
1. a final action under section 1.113; or
  2. a notice of allowance under section 1.311  
whichever occurs first.
- ☐ A fee under 37 C.F.R. §1.17(p) is required because no certification under 37 C.F.R. §1.97(e) has been made; or
- ☐ No fee is required because certification statement under 37 C.F.R. §1.97(e) is noted below.
- ☐ 37 C.F.R. §1.97(d) This Information Disclosure Statement is being filed *after* a final action under §1.113, or a notice of allowance under §1.113, whichever occurs first, but before, or simultaneously with, the payment of the issue fee.
- ☐ A fee under 37 C.F.R. §1.17(p) is required and either of the statements below (37 C.F.R. §1.97(e)).

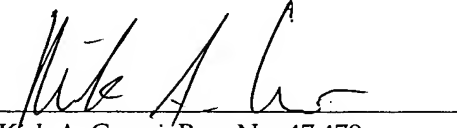
- ☐ 37 C.F.R. §1.97(e) Choose either Statement:
- ☐ Each item of information contained in the IDS was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three (3) months prior to the filing; or
- ☐ No item of information contained in the IDS was cited in a communication from a foreign patent office in the counterpart foreign application, and to my knowledge no item of information contained in the IDS was known to any individual designated in §1.56(c) more than three (3) months prior to the filing of this IDS.

Consideration of the listed items is respectfully requested.

Respectfully submitted,

SEAGATE TECHNOLOGY LLC  
(Assignee of Entire Interest)

12-15-2003  
Date

  
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Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet

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of

1

**Complete if Known**

Application Number

Filing Date

December 15, 2003

First Named Inventor

CheeWai Lum et al

Art Unit

Examiner Name

Attorney Docket Number

STL11464

**U. S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
	AA	US- 2002/0036855	3-28-2002	Lenny	
	AB	US- 2003/0002187	1-2-2003	Ko	
	AC	US- 5,517,613	5-14-1996	Brant	
	AD	US- 6,366,980	4-2-2002	Haines	
	AE	US- 5,894,376	4-13-1999	Rinard	
	AF	US- 4,967,155	10-30-1990	Magnuson	
	AG	US- 6,266,202	7-24-2001	Nguyen	
	AH	US- 6,512,644	1-28-2003	Hall	
		US-			
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**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)				

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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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